

# Newton–Okounkov polytopes of symplectic flag varieties

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# Convex polytopes in algebraic geometry and in representation theory

## 0. Toric geometry

*Newton (or moment) polytopes*

## 1. Representation theory

*Gelfand–Zetlin polytopes and string polytopes*  
(Berenstein–Zelevinsky, Littelmann, 1998)

## 2. Algebraic geometry

*Newton–Okounkov convex bodies*  
(Kaveh–Khovanskii, Lazarsfeld–Mustata, 2009)

## 1 & 2. Toric geometry on non-toric varieties

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# Toric varieties

## Theory of Newton polytopes

To every smooth projective toric variety  $X^n$  there corresponds a simple convex lattice polytope  $\Delta(X) \subset \mathbb{R}^n$ .

Geometry of  $X \leftrightarrow$  combinatorics of  $\Delta(X)$

Faces  $F$  of  $\Delta(X)$  are in bijection with closures of torus orbits  $\mathcal{O}_F$  in  $X$ .

## Intersection theory

$$\mathcal{O}_F \cdot \mathcal{O}_E = \mathcal{O}_{F \cap E}$$

if  $F$  and  $E$  are transverse.

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## Theory of Newton–Okounkov convex bodies

To every projective variety  $X^n$  there corresponds a convex body  $\Delta_v(X) \subset \mathbb{R}^n$  (it depends not only on  $X$  but also on a valuation  $v$  on  $\mathbb{C}(X)$ ). In many cases of interest (e.g. for spherical varieties) it is a convex lattice polytope.

## Main property of $\Delta_v(X)$

$$\deg X = n! \text{volume}(\Delta_v(X))$$

## Question

Is there a useful relation between intersection theory on  $X$  and intersection of faces of  $\Delta_v(X)$  (when  $\Delta_v(X)$  is a polytope)?

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## Motivating example: flag varieties

### Definition

The *flag variety*  $X$  is the variety of complete flags in  $\mathbb{C}^n$ :

$$X = \{ \{0\} = V^0 \subset V^1 \subset \dots \subset V^{n-1} \subset V^n = \mathbb{C}^n \mid \dim V^i = i \}$$

### Remark

Alternatively,  $X = GL_n(\mathbb{C})/B$ , where  $B$  denotes the group of upper-triangular matrices (*Borel subgroup*). In this form, the definition can be extended to arbitrary connected reductive groups.

### Schubert varieties

$$X_w = \overline{BwB/B}, \quad w \in S_n$$

give basis in  $H^*(X, \mathbb{Z})$ .

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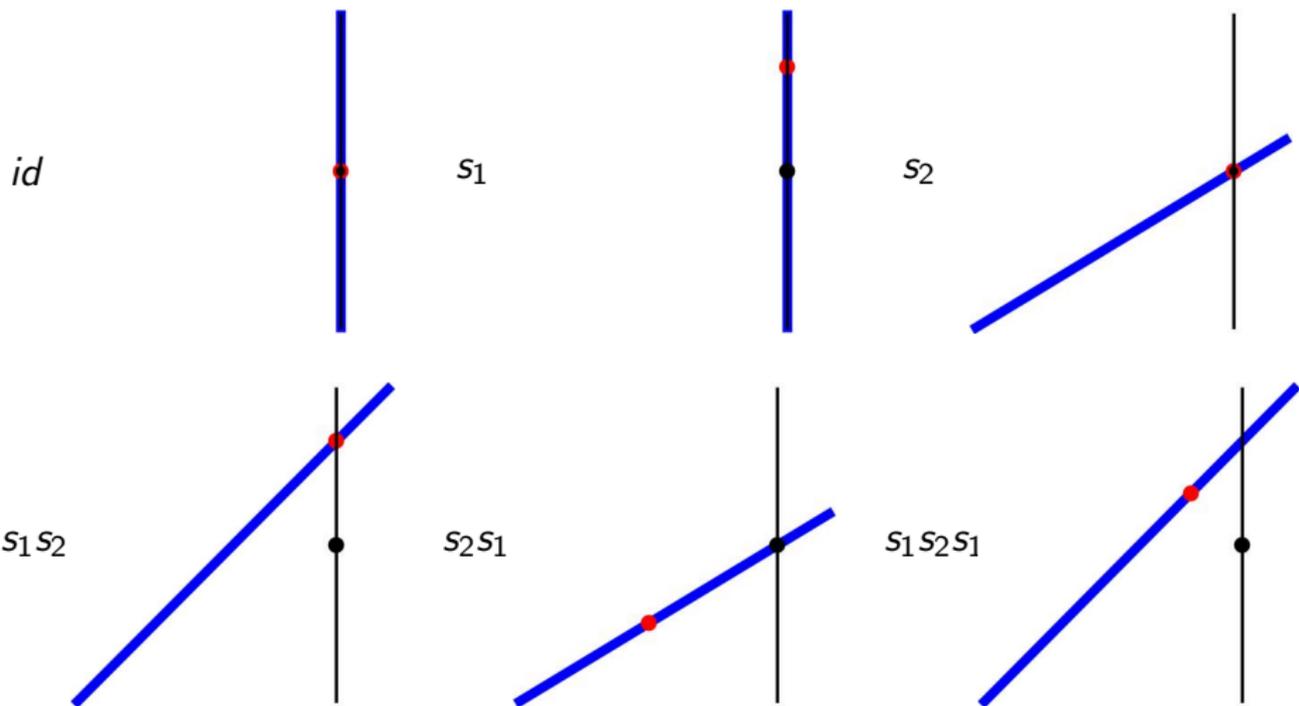
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# Schubert varieties for $GL_3/B$ .



## Gelfand–Zetlin polytopes

The Gelfand–Zetlin polytope  $\Delta_\lambda$  is defined by inequalities:

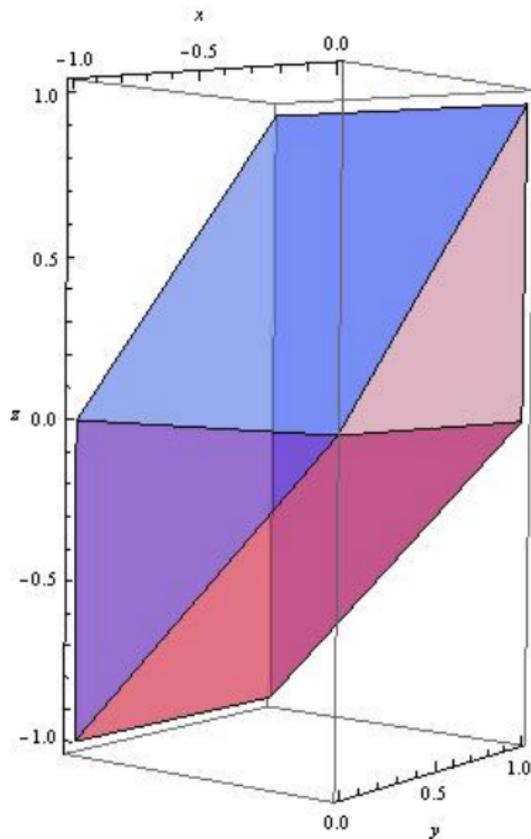
$$\begin{array}{cccccccc}
 \lambda_1 & & \lambda_2 & & \lambda_3 & & \dots & & \lambda_n \\
 & x_1^1 & & x_2^1 & & \dots & & x_{n-1}^1 & \\
 & & x_1^2 & & \dots & & x_{n-2}^2 & & \\
 & & & \ddots & & \dots & & & \\
 & & & x_1^{n-2} & & x_2^{n-2} & & & \\
 & & & & x_1^{n-1} & & & & 
 \end{array}$$

where  $(x_1^1, \dots, x_{n-1}^1; \dots; x_1^{n-1})$  are coordinates in  $\mathbb{R}^d$ , and the notation

$$\begin{array}{cc}
 a & b \\
 & c
 \end{array}$$

means  $a \leq c \leq b$ .

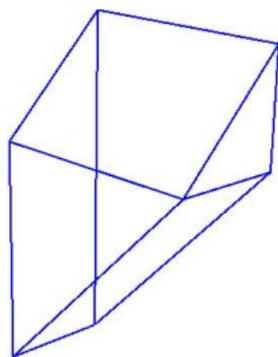
## Gelfand–Zetlin polytopes



A Gelfand–Zetlin  
polytope for  $GL_3$ :

$$\begin{array}{ccc} -1 & 0 & 1 \\ & x & y \\ & & z \end{array}$$

# Schubert calculus and Gelfand–Zetlin polytopes



$$[X_{s_1}] = \left| \begin{array}{c} \phantom{0} \\ \phantom{0} \\ \phantom{0} \\ \phantom{0} \end{array} \right| ; \quad [X_{s_2}] = \phantom{0} / \phantom{0}$$

$$[X_{s_1 s_2}] \cdot [X_{s_2 s_1}] = \left( \text{orange parallelogram} \right) \cdot \left( \text{purple and red polytope} \right) = \left| \begin{array}{c} \phantom{0} \\ \phantom{0} \\ \phantom{0} \\ \phantom{0} \end{array} \right| + \phantom{0} / \phantom{0} = [X_{s_1}] + [X_{s_2}]$$

# Flag varieties and Gelfand–Zetlin polytopes

## Results

- Relation between Schubert varieties and preimages of rc-faces of  $\Delta_\lambda$  under the Guillemin–Sternberg moment map  $X \rightarrow \Delta_\lambda$  (Kogan, 2000)
- Degenerations of Schubert varieties to (reducible) toric varieties given by (unions of) faces of  $\Delta_\lambda$  (Kogan–E.Miller, Knutson–E.Miller, 2003)
- Description of  $H^*(X, \mathbb{Z})$  using *volume polynomial* of  $\Delta_\lambda$  (Kaveh, 2011)
- Schubert calculus: intersection product of Schubert cycles in  $H^*(X, \mathbb{Z}) =$  intersection of faces in  $\Delta_\lambda$  (K.–Smirnov–Timorin, 2012)

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# Generalized flag varieties

Let  $G$  be an arbitrary connected reductive group, and  $X = G/B$  the complete flag variety.

## Question

Which polytopes are best suited for Schubert calculus on  $G/B$ ?

## Polytopes

Generalizations of Gelfand–Zetlin polytopes from  $GL_n$  to  $G$  include *string polytopes*, Newton–Okounkov polytopes of flag varieties, and polytopes constructed via convex-geometric divided difference operators (K., 2013).

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# Newton–Okounkov polytopes

## Valuation

Let  $X^n \subset \mathbb{P}^N$  be a projective subvariety with coordinates  $(x_1, \dots, x_n)$  in a neighborhood of a smooth point  $p \in X$ . Define the valuation  $v : \mathbb{C}(X) \rightarrow \mathbb{Z}^n$  by sending every polynomial  $f(x_1, \dots, x_n)$  to  $(k_1, \dots, k_n)$  where  $x_1^{k_1} \cdots x_n^{k_n}$  is the lowest degree term in  $f$  (assuming that  $x_1 \succ x_2 \succ \dots \succ x_n$ ).

## Vector space

Let  $V \subset \mathbb{C}(X)$  be the vector space spanned by restrictions to  $X \subset \mathbb{P}^N$  of linear functions on

## Example

If  $X = \nu_N(\mathbb{P}^1) = \{(y_0^N : y_1 y_0^{N-1} : \dots : y_1^N)\} \subset \mathbb{P}^N$  and  $x_1 = \frac{y_1}{y_0}$ , then  $v(f) =$  the order of zero (or pole) of  $f$  at  $p$  and  $V = \langle 1, x_1, \dots, x_1^N \rangle$ .

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# Newton–Okounkov polytopes

## Naive definition

The Newton–Okounkov polytope  $\Delta_v(X) \subset \mathbb{R}^n$  of  $X^n$  is the convex hull of  $v(f)$  for all  $f \in V$ .

## Example

$$\Delta_v(\nu_N(\mathbb{P}^1)) = [0, N] \subset \mathbb{R}^1$$

## Example

A toric variety  $X^n$  has a natural system of coordinates  $(x_1, \dots, x_n)$  coming from  $(\mathbb{C}^*)^n \subset X^n$ . For a projective embedding  $X^n \subset \mathbb{P}^N$ , the space  $V$  is spanned by monomials in  $x_1, \dots, x_n$ . Hence, the valuation  $v$  does not matter, and  $\Delta_v(X^n)$  is always the Newton polytope of  $X$ .

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If  $n! \text{volume}(\Delta_v(X)) = \text{deg}(X)$ , then the naive definition coincides with the correct definition.

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# A Newton–Okounkov polytope of $GL_3/B$

## Coordinates on the open Schubert cell

If the flag  $(a \in l \subset \mathbb{P}^2)$  is in general position with a fixed flag  $(a_0 \in l_0 \subset \mathbb{P}^2)$ , then  $l \cap l_0 = a' \neq a_0$  and  $a \notin l_0$ . Hence,

$$a' = (x : 1 : 0); \quad l = \langle a', (y : 0 : 1) \rangle; \quad a = (xz + y : z : 1)$$

are coordinates (assuming that  $a_0 = (1 : 0 : 0)$ ,  $l_0 = \{(\star : \star : 0)\}$ ).

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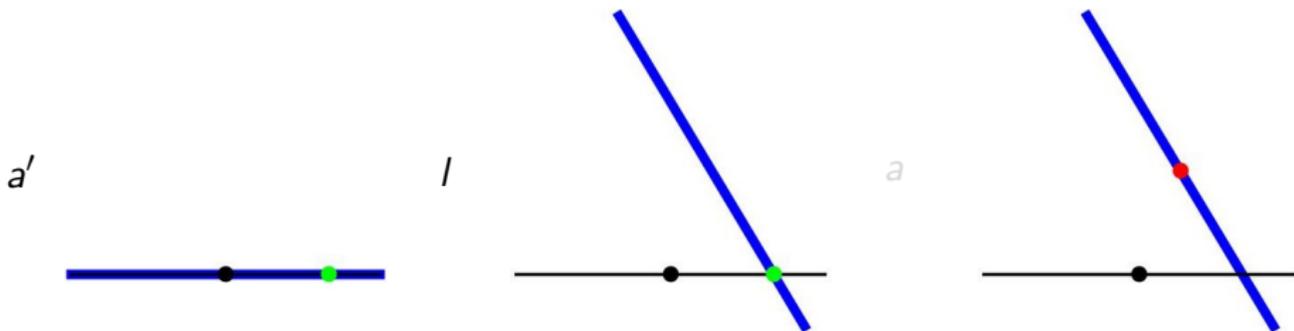
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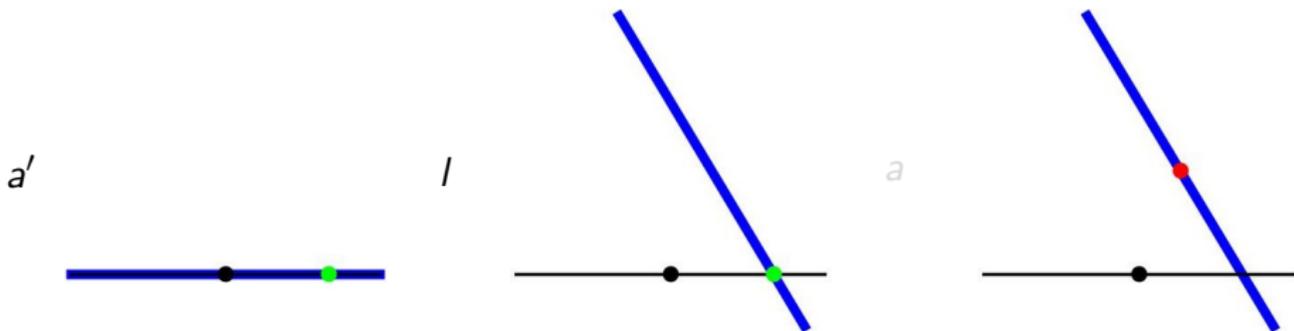
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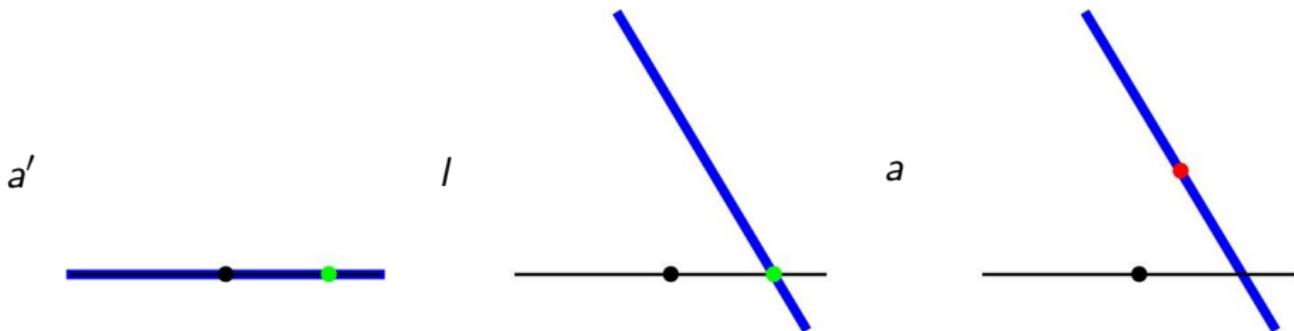
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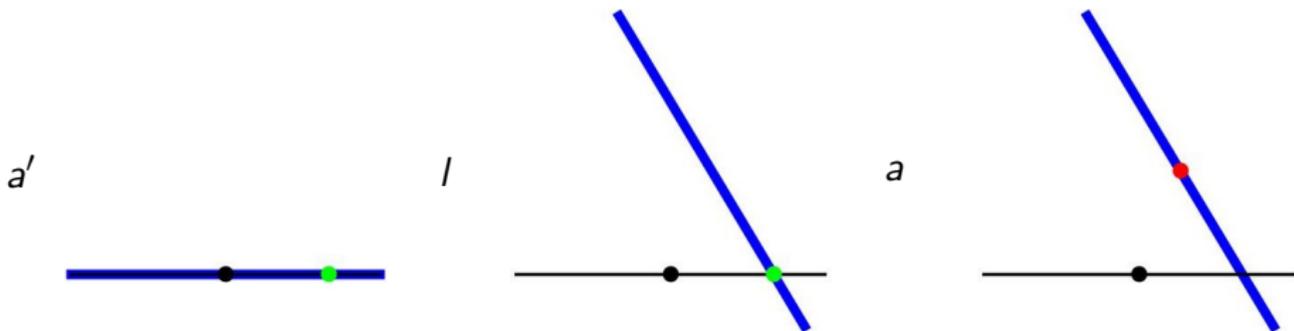
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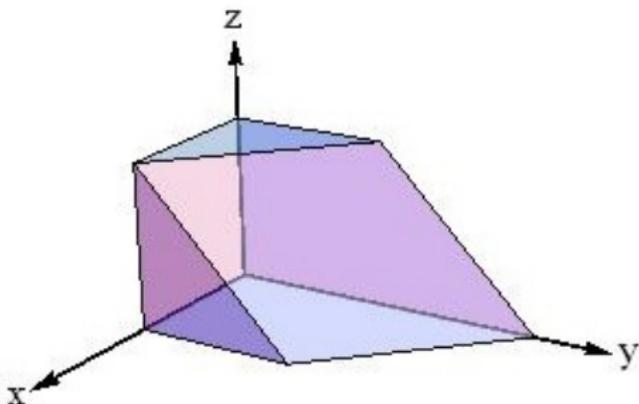
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Consider the embedding  $p : GL_3/B \hookrightarrow \mathbb{P}^2 \times (\mathbb{P}^2)^* \hookrightarrow \mathbb{P}^8$ ;  
 $p : (a, l) \mapsto a \times l$ . Then  $p$  takes the flag with coordinates  $(x, y, z)$  to

$$\begin{pmatrix} xz + y & z & 1 \end{pmatrix} \times \begin{pmatrix} 1 \\ -x \\ -y \end{pmatrix} = \begin{pmatrix} xz + y & -x^2z - xy & -xyz - y^2 \\ z & -xz & -yz \\ 1 & -x & -y \end{pmatrix}$$

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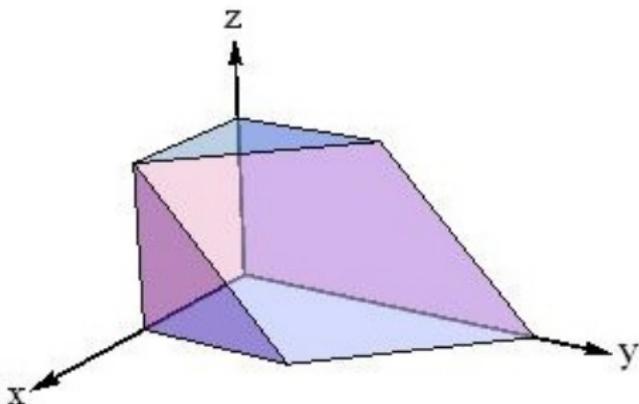
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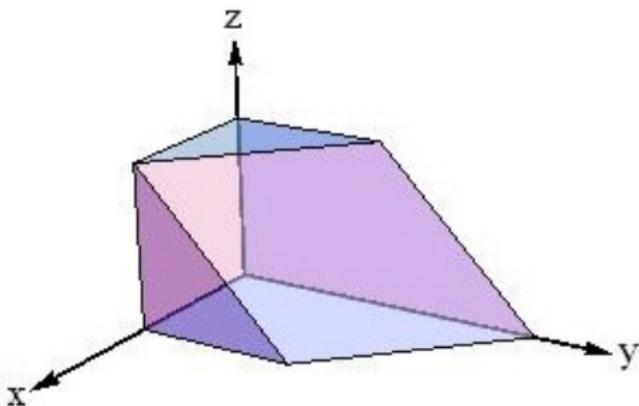
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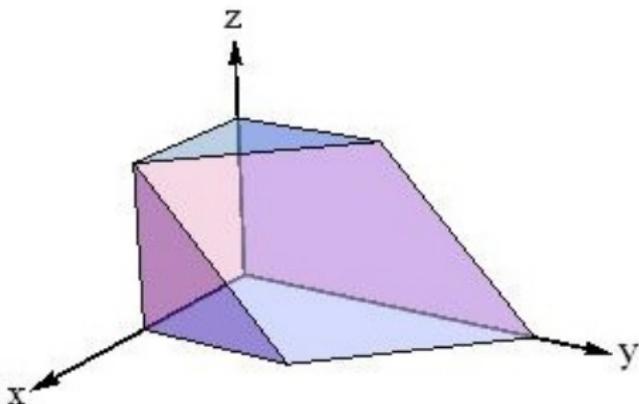
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# Valuations on $\mathbb{C}(G/B)$

## Decomposition of $w_0$

Fix a reduced decomposition  $\overline{w_0} = s_{i_1} \dots s_{i_d}$  of the longest element  $w_0$  in the Weyl group of  $G$ .

## Flag of Schubert varieties

Choose coordinates compatible with the flag

$X_{id} \subset X_{s_{i_d}} \subset X_{s_{i_{d-1}}s_{i_d}} \subset \dots \subset X_{s_{i_2} \dots s_{i_d}} \subset X$  (coordinates “at infinity”).

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Choose coordinates compatible with the flag  $w_0 X_{id} \subset$

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# Generalized Gelfand–Zetlin polytopes

(Okounkov, 1998)

The *symplectic* Gelfand–Zetlin polytope coincides with the Newton–Okounkov polytopes of  $Sp_{2n}/B$  for the lowest order term valuation  $\nu$  associated with the flag of Schubert varieties for  $\overline{w_0} = (s_1)(s_2 s_1 s_2) \cdots (s_n s_{n-1} \cdots s_2 s_1 s_2 \cdots s_{n-1} s_n)$ .

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If  $G = GL_n$  and  $\overline{w_0} = s_1(s_2 s_1) \cdots (s_{n-1} \cdots s_1)$  then the corresponding string polytopes are exactly Gelfand–Zetlin polytopes.

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(J. Miller, 2014)

Newton–Okounkov polytopes of Schubert varieties can be represented by unions of faces of a given string polytope.

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This is an existence result. Explicit descriptions of such faces are so far known in the case of  $GL_n$ ,  $\overline{w_0} = s_1(s_2s_1) \cdots (s_{n-1} \cdots s_1)$  (K.–Smirnov–Timorin, 2012) and  $Sp_4$ ,  $\overline{w_0} = s_1s_2s_1s_2$  (Ilyukhina, 2012).

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# Mitosis

## Combinatorial mitosis on pipe-dreams for $GL_n$

Pipe-dreams corresponding to permutation  $w$  can be obtained from pipe-dreams corresponding to permutation  $s_i w$  (if  $l(s_i w) < l(w)$ ) by an explicit combinatorial algorithm (Knutson–E. Miller, 2003).

## Mitosis on parallelepipeds

Basic steps of mitosis on pipe-dreams admit a geometric realization (mitosis on parallelepipeds) compatible with the action of Demazure operators (K.–Smirnov–Timorin, 2012).

## Geometric mitosis

If Gelfand–Zetlin polytope is replaced by a DDO polytope for another reductive group (e.g. for  $Sp(2n)$ ) then mitosis on parallelepipeds still works and produces a new combinatorial algorithm (K., 2014).

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# Geometric mitosis: type A

## Gelfand–Zetlin polytope

$$\begin{array}{ccccccccccc} \lambda_1 & & \lambda_2 & & \lambda_3 & & \dots & & \lambda_n \\ & x_1^1 & & x_2^1 & & \dots & & & x_{n-1}^1 & & \\ & & x_1^2 & & \dots & & & & x_{n-2}^2 & & \\ & & & \ddots & & \dots & & & & & \\ & & & & x_1^{n-2} & & & & x_2^{n-2} & & \\ & & & & & & & & & & x_1^{n-1} \end{array}$$

has  $(n - 1)$  different fibrations by coordinate parallelepipeds. Hence, there are  $(n - 1)$  different mitosis operations on its faces.

## Geometric mitosis: type C

(K., 2013)

Take  $\overline{w_0} = s_2 s_1 s_2 s_1$ . The corresponding DDO polytope  $Q_\lambda$  is given by inequalities

$$\begin{aligned} 0 \leq x \leq \lambda_1, \quad z \leq x + \lambda_2, \quad y \leq 2z, \\ y \leq z + \lambda_2, \quad 0 \leq t \leq \lambda_2, \quad t \leq \frac{y}{2}. \end{aligned}$$

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The polytopes  $Q_\lambda$  coincide with the Newton–Okounkov polytopes of  $Sp_4/B$  for the **lowest order term** valuation  $v$  associated with the flag of subvarieties  $w_0 X_{id} \subset s_1 s_2 s_1 X_{s_2} \subset s_1 s_2 X_{s_1 s_2} \subset s_1 X_{s_2 s_1 s_2} \subset X$ .

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The polytopes  $Q_\lambda$  have 11 vertices so they are not combinatorially equivalent to string polytopes (=symplectic Gelfand–Zetlin polytopes) associated with  $s_2 s_1 s_2 s_1$  or  $s_1 s_2 s_1 s_2$

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## Geometric mitosis: type C

### Skew pipe-dreams

Faces that contain the lowest vertex  $a_\lambda = (0, 0, 0, 0)$  can be encoded by the diagrams:

	$+$	$\iff$	$0 = t$		
$+$	$\iff$	$0 = x$	$+$	$\iff$	$t = \frac{y}{2}$
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### Parallelepipeds

The polytope  $Q_\lambda$  admits two different fibrations (by translates of  $xy$ - and  $zt$ -planes), hence, there are two mitosis operations  $M_1$  and  $M_2$  on faces of  $Q_\lambda$ .

### Isotropic flags

$$\begin{aligned} Sp_4/B &= \{(V^1 \subset V^2 \subset V^3 \subset \mathbb{C}^4) \mid \omega|_{V^2} = 0, V^1 = V^{3\perp}\} = \\ &= \{(a \in I \subset \mathbb{P}^3) \mid I - \text{isotropic line}\} \end{aligned}$$

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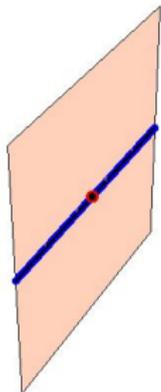
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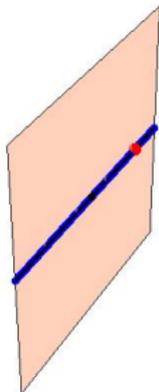
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# Schubert cycles for $Sp_4$

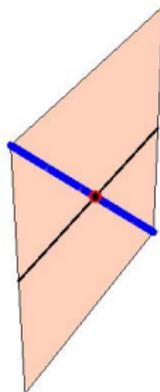
$id$



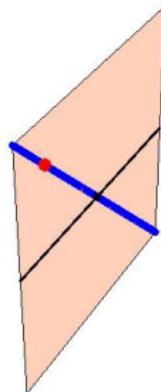
$s_1$



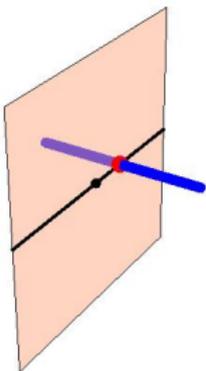
$s_2$



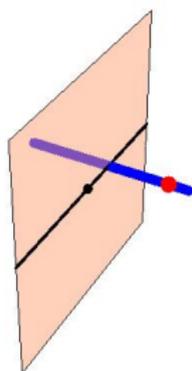
$s_2 s_1$



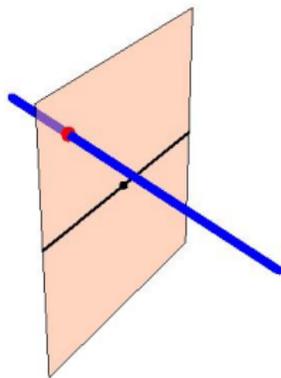
$s_1 s_2$



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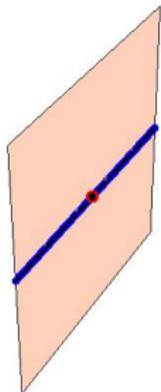


$s_2 s_1 s_2$

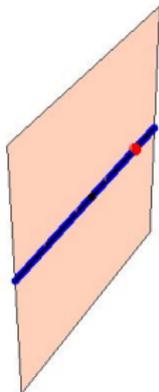


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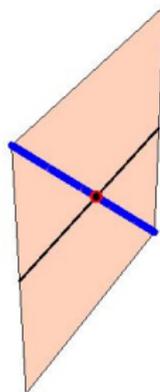
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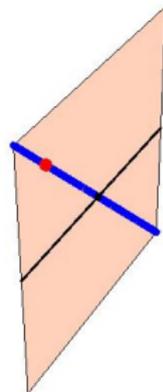
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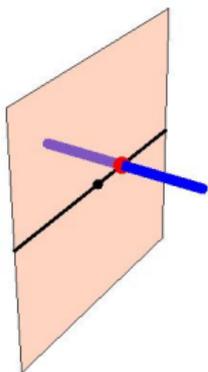
$s_2$



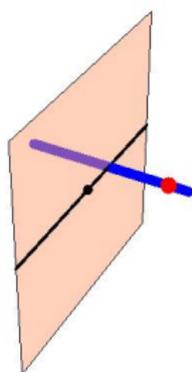
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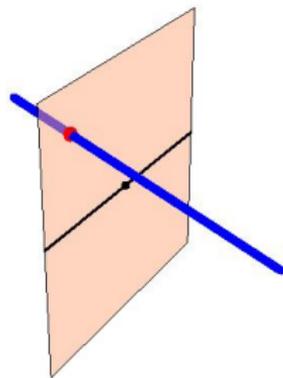
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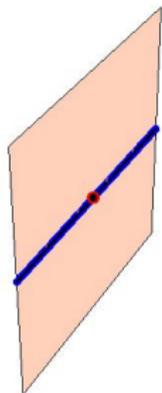


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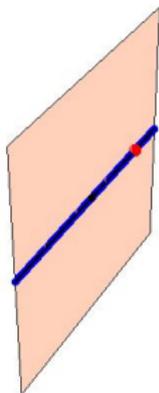


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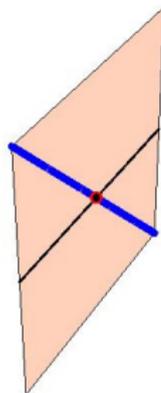
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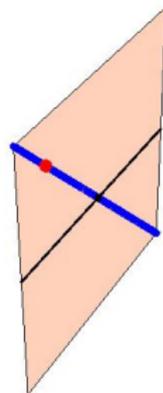
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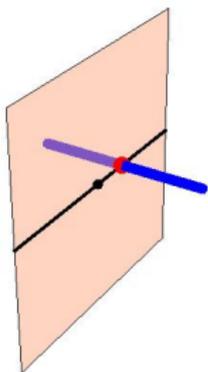
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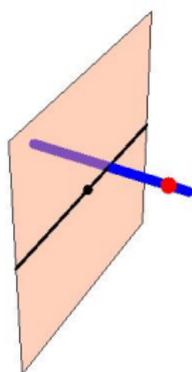
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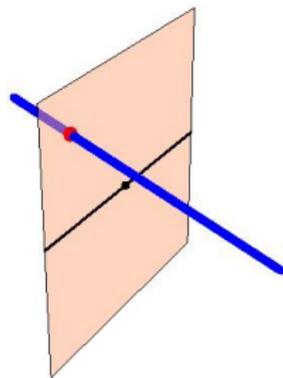
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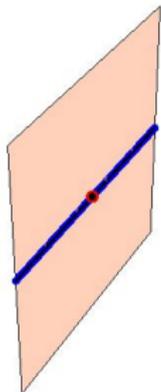


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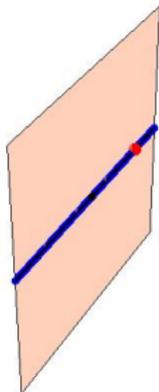


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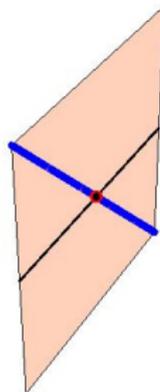
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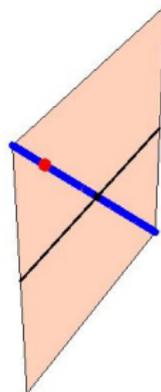
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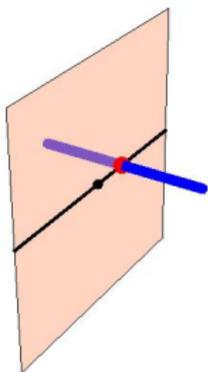
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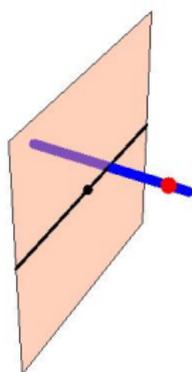
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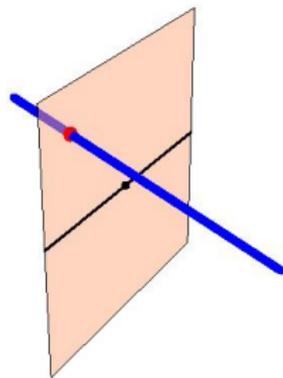
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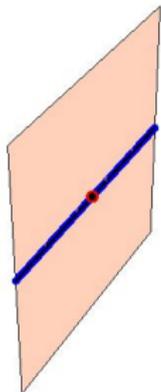


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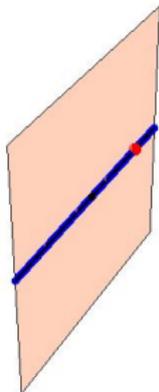


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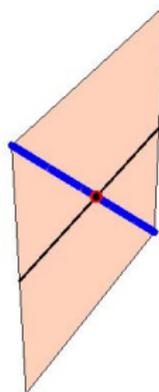
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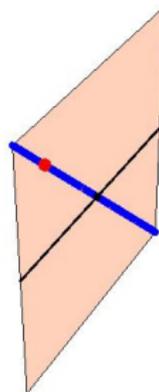
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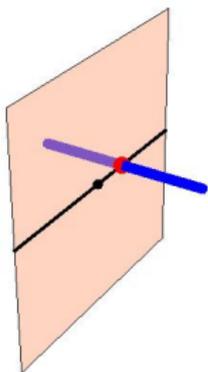
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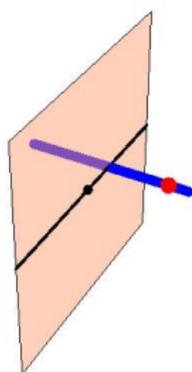
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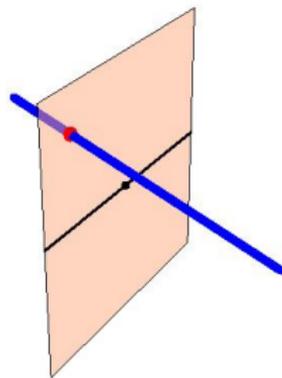
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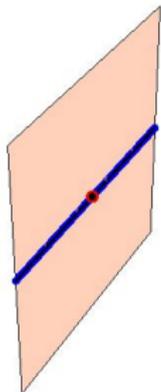


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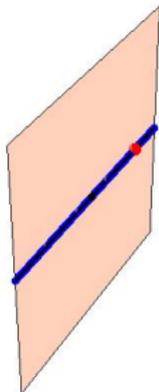


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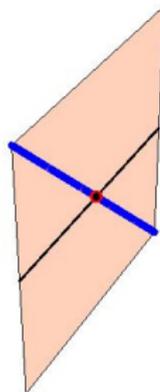
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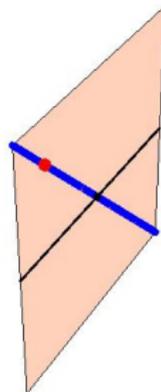
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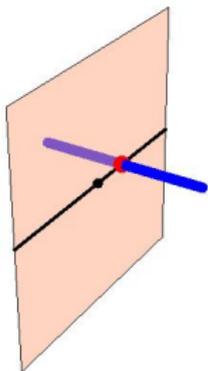
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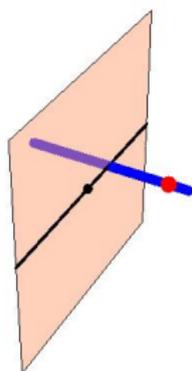
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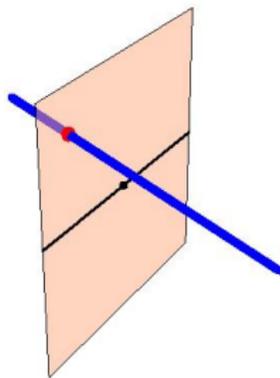
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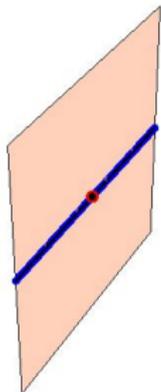


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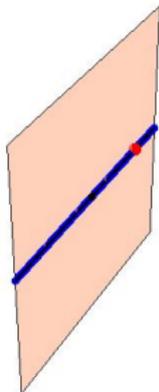


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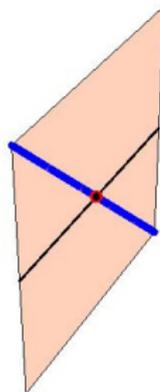
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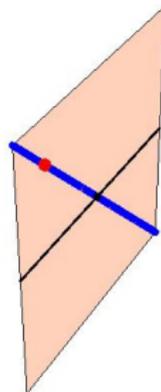
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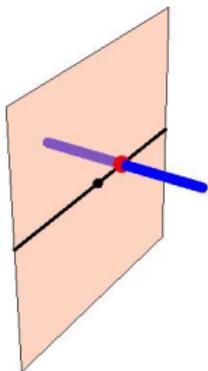
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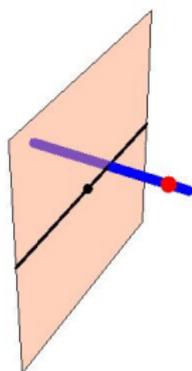
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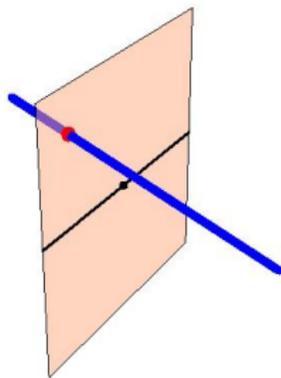
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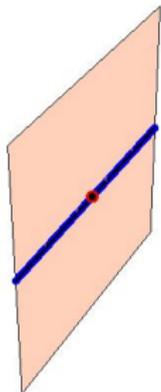


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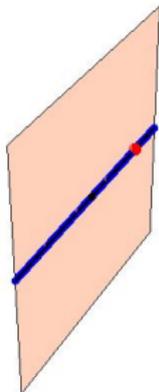


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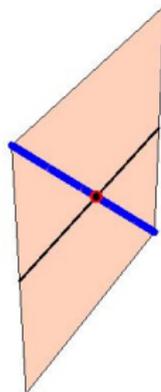
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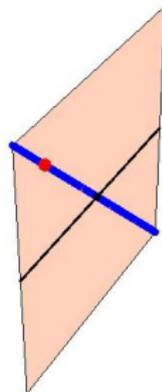
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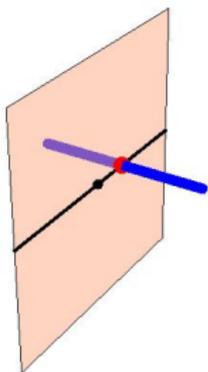
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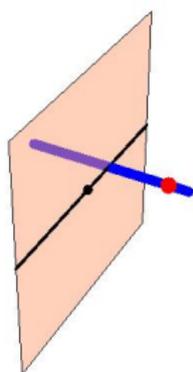
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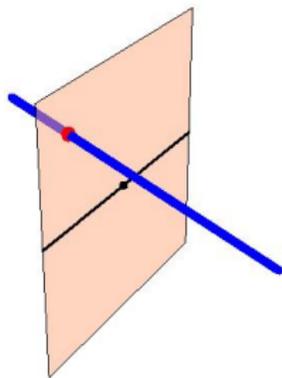
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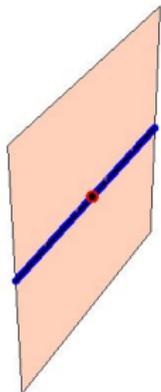


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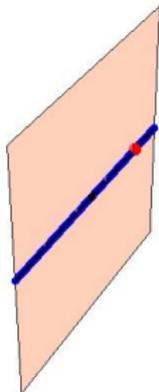


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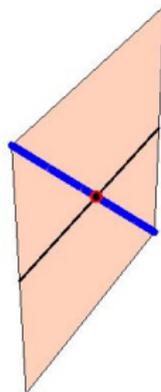
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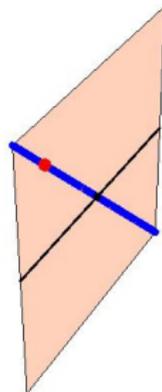
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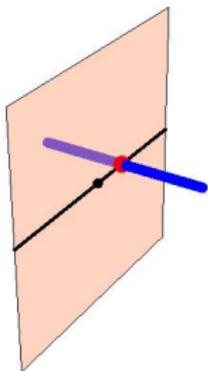
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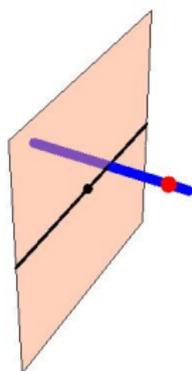
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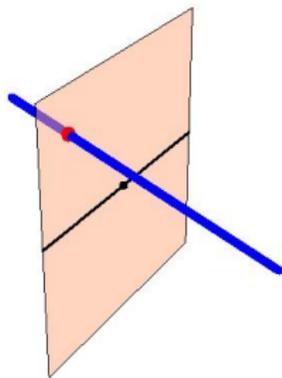
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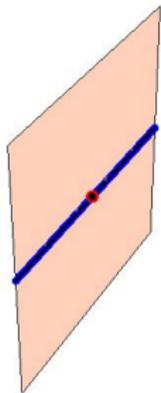


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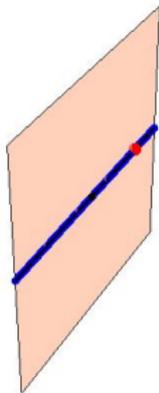


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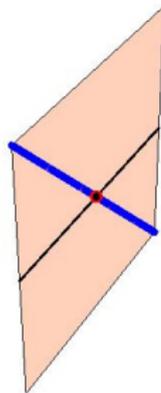
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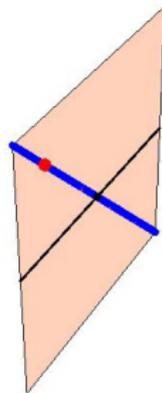
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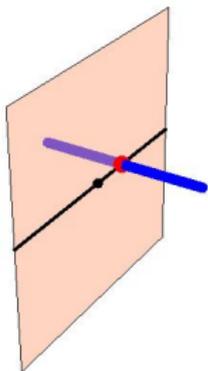
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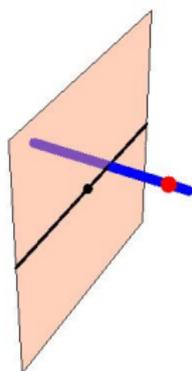
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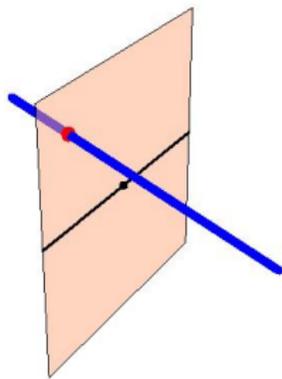
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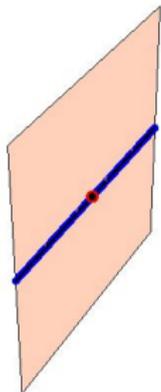


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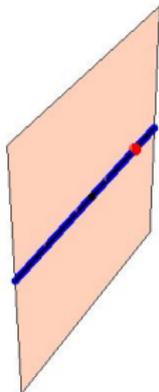


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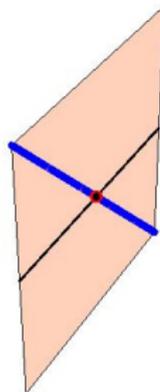
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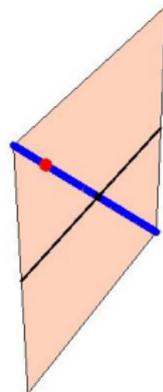
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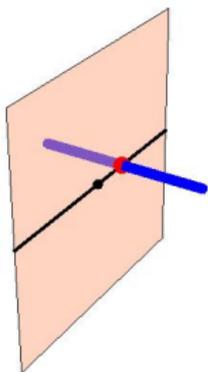
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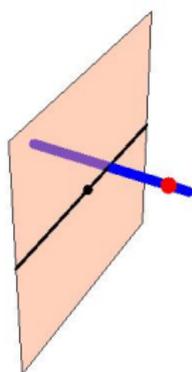
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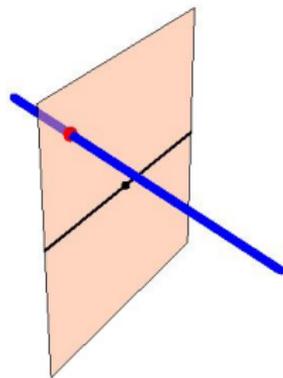
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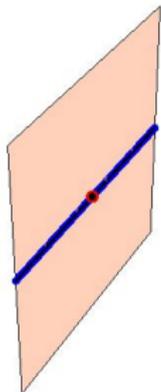


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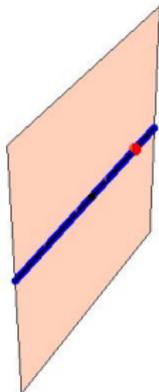


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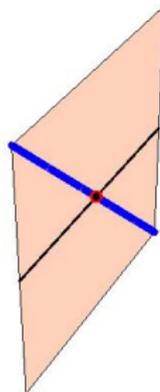
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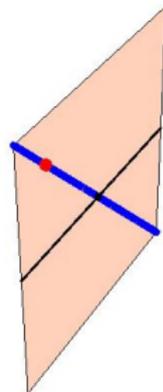
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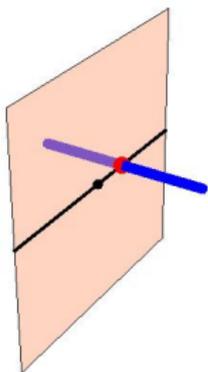
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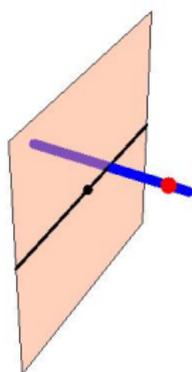
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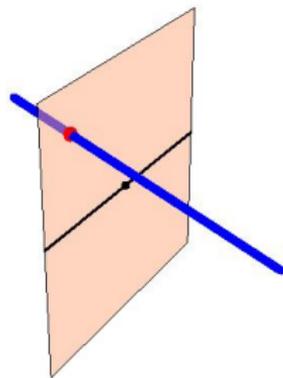
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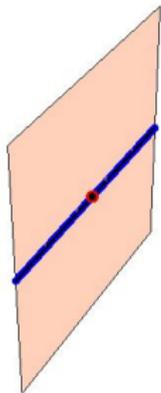


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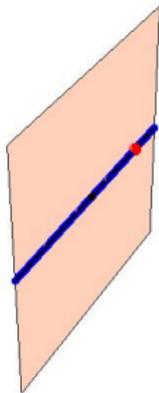


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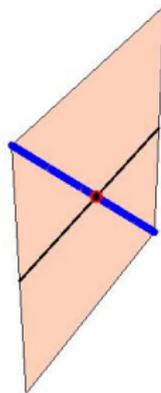
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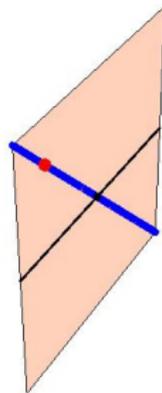
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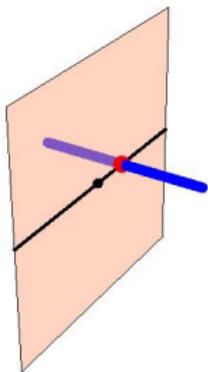
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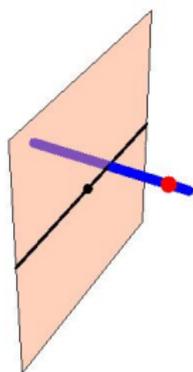
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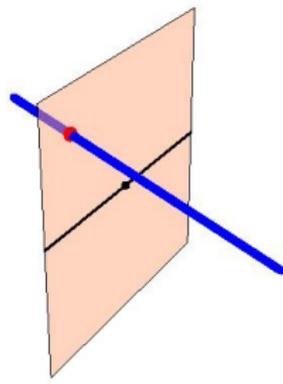
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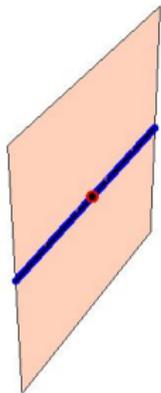


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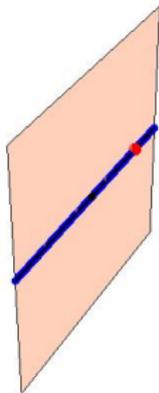


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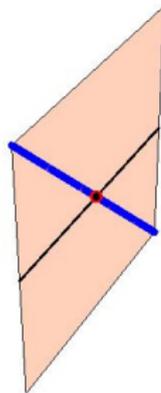
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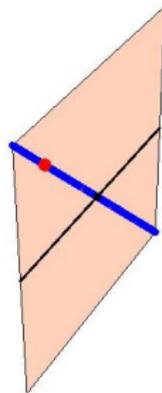
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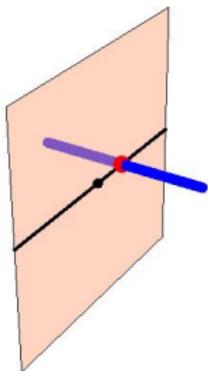
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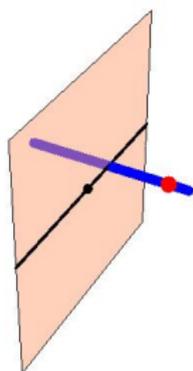
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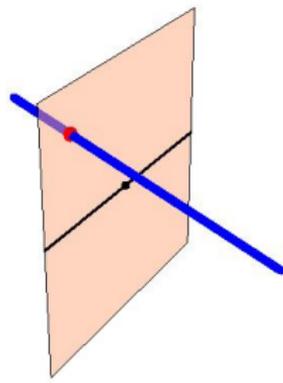
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$s_1 s_2 s_1$

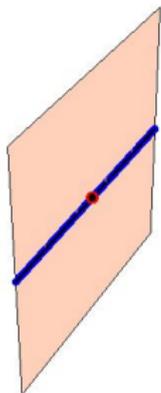


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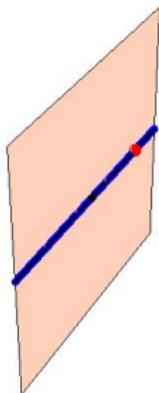


# Schubert cycles for $Sp_4$

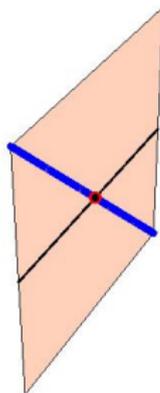
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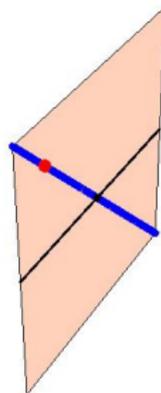
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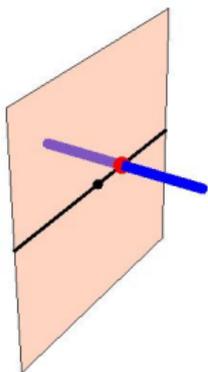
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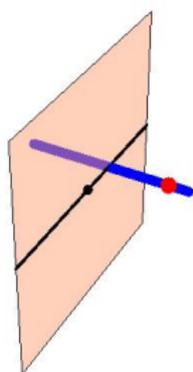
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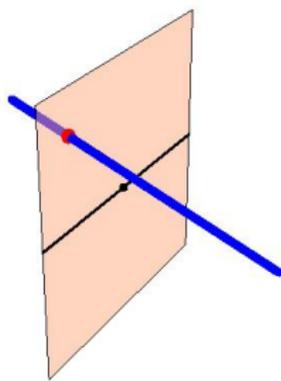
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$s_1 s_2 s_1$

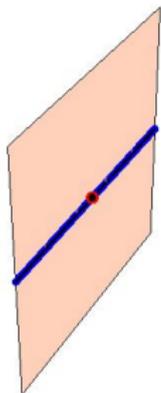


$s_2 s_1 s_2$

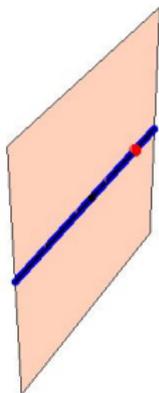


# Schubert cycles for $Sp_4$

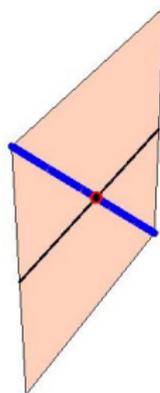
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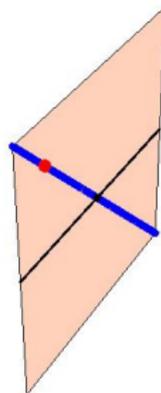
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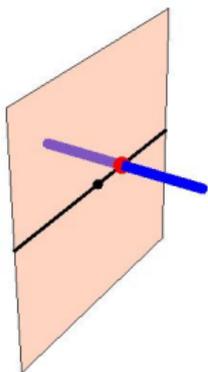
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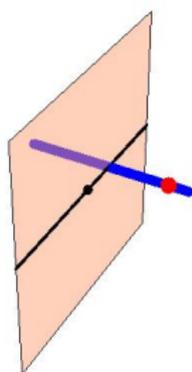
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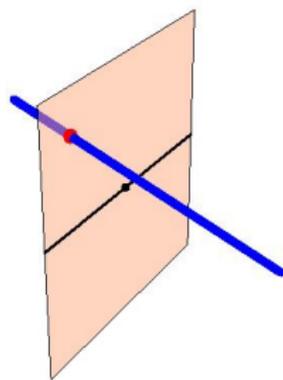
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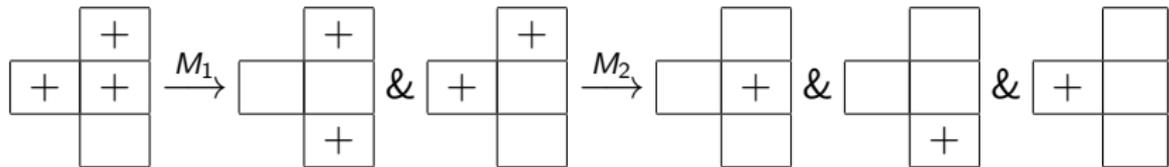
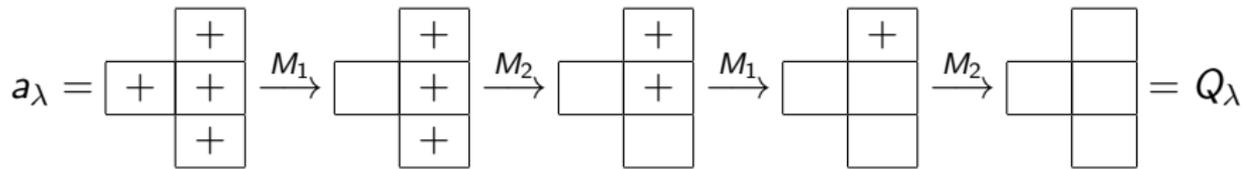
$s_1s_2s_1$



$s_2s_1s_2$



# Geometric mitosis: type C



## References

- Valentina Kiritchenko, *Geometric mitosis*, arXiv:1409.6097 [math.AG]
- Valentina Kiritchenko, *Divided difference operators on polytopes*, arXiv:1307.7234 [math.AG], to appear in Adv. Studies in Pure Math.