

# ON SPHERICAL IDEALS OF BOREL SUBALGEBRAS

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ABSTRACT. The goal of this paper is to extend some previous results on abelian ideals of Borel subalgebras to so-called spherical ideals of  $\mathfrak{b}$ . These are ideals  $\mathfrak{c}$  of  $\mathfrak{b}$  such that their  $G$ -saturation  $G \cdot \mathfrak{c}$  is a spherical  $G$ -variety. We classify all maximal spherical ideals of  $\mathfrak{b}$  for all simple  $G$ .

## 1. INTRODUCTION

Let  $G$  be a connected reductive complex algebraic group with Lie algebra  $\text{Lie } G = \mathfrak{g}$ . Let  $B$  be a Borel subgroup of  $G$  with unipotent radical  $B_u$ . We denote the Lie algebras of  $B$  and  $B_u$  by  $\mathfrak{b}$  and  $\mathfrak{b}_u$ , respectively. The group  $B$  acts on any ideal of  $\mathfrak{b}$  by means of the adjoint representation. There has been quite a lot of activity recently in the study of various aspects of ad-nilpotent ideals and, in particular, abelian ideals of  $\mathfrak{b}$ , for instance, see [5], [6], [9], [12], [14], and [16], and the additional references therein.

After a preliminary section, we recall our main finiteness results on abelian ideals from [14]. The goal of this paper is to extend these results to so-called *spherical* ideals of  $\mathfrak{b}$  in the next section. These are ideals  $\mathfrak{c}$  of  $\mathfrak{b}$  such that their  $G$ -saturation  $G \cdot \mathfrak{c}$  is a spherical  $G$ -variety. Our aim is to classify all maximal spherical ideals of  $\mathfrak{b}$  for all simple  $G$ .

## 2. PRELIMINARIES

We denote the Lie algebra of  $G$  by  $\text{Lie } G$  or  $\mathfrak{g}$ ; likewise for closed subgroups of  $G$ . Let  $T$  be a fixed maximal torus in  $G$  and  $\Psi = \Psi(G)$  the set of roots of  $G$  with respect to  $T$  and let  $r = \dim T = \text{rank } G$ . Fix a Borel subgroup  $B$  of  $G$  containing  $T$  and let  $\Pi = \{\sigma_1, \dots, \sigma_r\}$  be the set of simple roots of  $\Psi$  defined by  $B$  such that the positive integral span of  $\Pi$  in  $\Psi$  is  $\Psi^+ = \Psi(B)$ . In case  $G$  is simple, the highest (long) root in  $\Psi$  is denoted by  $\rho = \sum n_\sigma \sigma$ , where the sum is taken over the simple roots  $\Pi$ . If all roots in  $\Psi$  are of the same length, they are all called *long*. A subset of  $\Psi^+$  is an *ideal* in  $\Psi^+$  provided it is closed under addition by elements from  $\Psi^+$ . As usual, we have the root space decomposition of  $\mathfrak{g}$  relative to  $T$ ,

$$\mathfrak{g} = \mathfrak{t} \oplus \bigoplus_{\alpha \in \Psi} \mathfrak{g}_\alpha.$$

For a  $T$ -stable subspace  $\mathfrak{h} \subset \mathfrak{g}$ , we denote by  $\Psi(\mathfrak{h})$  its set of roots with respect to  $T$ . Any parabolic subgroup  $P$  of  $G$  is assumed to be *standard*, i.e., it contains  $B$ . The notation  $P = P(\sigma_{i_1}, \dots, \sigma_{i_t})$  means that  $\sigma_{i_1}, \dots, \sigma_{i_t}$  are the simple roots of the standard Levi subgroup

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of this parabolic subgroup. Then the number  $t$  is called the *semisimple rank* of  $P$ , denoted  $\text{srk } P$ . For each  $\alpha \in \Psi$ , we choose a nonzero root vector  $e_\alpha$  in  $\mathfrak{g}_\alpha$ .

Following Cellini and Papi [5], we say that an ideal of  $\mathfrak{b}$  is *ad-nilpotent*, if it is contained in  $\mathfrak{b}_u$ . It is easily seen that any abelian ideal of  $\mathfrak{b}$  is ad-nilpotent. Since we shall consider no other types of ideals, we usually write “ $\mathfrak{b}$ -ideal” or just “ideal” in place of “ad-nilpotent ideal of  $\mathfrak{b}$ ”. Given an ideal  $\mathfrak{c} \subset \mathfrak{b}_u$  of  $\mathfrak{b}$ , we have  $\mathfrak{c} = \bigoplus_{\alpha \in \Psi(\mathfrak{c})} \mathfrak{g}_\alpha$ . In particular,  $\mathfrak{c}$  is uniquely determined by its set of roots  $\Psi(\mathfrak{c})$  which is an ideal in  $\Psi^+$ , where a subset  $\Delta$  of  $\Psi^+$  is called an *ideal* provided if  $\alpha \in \Delta$ ,  $\beta \in \Psi^+$  and  $\alpha + \beta \in \Psi^+$ , then  $\alpha + \beta \in \Delta$ .

Our basic reference concerning results on root systems is [2]. In case  $G$  is simple, we use the labelling of the Dynkin diagram of  $G$  (i.e. of  $\Pi$ ) as in [2]. We refer to [1] and [19] for terminology and standard results on algebraic groups.

Suppose a connected algebraic group  $R$  acts morphically on an algebraic variety  $X$ . The *modality* of the action of  $R$  on  $X$  is defined as

$$\text{mod}(R : X) := \max_Z \min_{z \in Z} \text{codim}_Z R \cdot z,$$

where  $Z$  runs through all irreducible  $R$ -invariant subvarieties of  $X$ . This definition is due to E.B. Vinberg [17]. Note that  $\text{mod}(R : X) = 0$  if and only if  $R$  acts on  $X$  with a finite number of orbits.

### 3. ABELIAN IDEALS AND SPHERICAL ORBITS

A nilpotent  $G$ -orbit (conjugacy class)  $O$  in  $\mathfrak{g}$  is called *spherical* whenever it is a spherical  $G$ -variety, that is,  $B$  acts on it with a dense orbit. By a fundamental theorem, due to M. Brion [3] and E.B. Vinberg [17], in that case  $B$  acts on  $O$  with finitely many orbits. Since  $O$  is quasi-affine, it is spherical if and only if the algebra of polynomial functions  $\mathbb{C}[O]$  is a *multiplicity free*  $G$ -module [18].

The following characterisation of spherical nilpotent orbits is found in [10, §3.1] and [11, Thm. 3.2].

**Theorem 3.1.** *Let  $O$  be a nilpotent orbit in  $\mathfrak{g}$ . The following statements are equivalent:*

- (i)  $O$  is spherical;
- (ii)  $(\text{ad } x)^4 = 0$  for any  $x \in O$ ;
- (iii)  $O$  contains a representative of the form  $e_{\alpha_1} + \cdots + e_{\alpha_t}$ , where  $\{\alpha_1, \dots, \alpha_t\} \subseteq \Pi$  is a set of mutually orthogonal simple roots.

The last property means that a minimal Levi subalgebra of  $\mathfrak{g}$  meeting  $O$  is the sum of  $t$  copies of  $\mathfrak{sl}_2$ . Since such a Levi subalgebra is determined by the orbit up to conjugation, we see that the integer  $t$  in Theorem 3.1(iii) does not depend on the choice of a representative for  $O$  and, furthermore, the number of long and short roots among the  $\alpha_i$ 's is an invariant of the orbit.

The equivalence between parts (i) and (ii) of Theorem 3.1 is proved in [10, §3.1]. Some parts of that proof relied on the use of the classification of nilpotent orbits in simple Lie algebras. A classification-free proof is given in [13].

Making use of Theorem 3.1, we set up a direct link between the abelian ideals of  $\mathfrak{b}$  and spherical nilpotent orbits. The following are proved in [14, Sect. 2]:

**Theorem 3.2.** *If  $\mathfrak{a}$  is an abelian ideal in  $\mathfrak{b}$ , then  $G \cdot \mathfrak{a}$  is the closure of a spherical nilpotent orbit. In particular, any  $G$ -orbit meeting  $\mathfrak{a}$  is spherical.*

**Corollary 3.3.** *Let  $\mathfrak{a}$  be an abelian ideal in  $\mathfrak{b}$ . Then  $B$  has finitely many orbits in  $\mathfrak{a}$ .*

**Corollary 3.4.** *Let  $P$  be a parabolic subgroup of  $G$  and let  $\mathfrak{a}$  be an abelian ideal of  $\mathfrak{p}$  in  $\mathfrak{p}_u$ . Then  $P$  acts on  $\mathfrak{a}$  with finitely many orbits.*

It follows from the definition of modality and Corollary 3.3 that if  $\mathfrak{a}$  is an abelian ideal of  $\mathfrak{b}$ , then  $\text{mod}(B : \mathfrak{a}) = 0$ .

We should like to point out that if  $\mathfrak{c}$  is an ad-nilpotent ideal of  $\mathfrak{b}$  of nilpotency class at least 2, then there need not be any bound on the modality  $\text{mod}(B : \mathfrak{c})$  of the action of  $B$  on  $\mathfrak{c}$ , cf. [15]. In fact, examples in type  $A_r$  show that already for  $\mathfrak{c}$  of nilpotency class 2 the values for  $\text{mod}(B : \mathfrak{c})$  may grow quadratically in the rank of  $G$ . For instance, let  $\mathfrak{g}$  be of type  $A_r$  where  $r = 3n - 1$  for some  $n \in \mathbb{N}$ . Let  $\mathfrak{c}$  be the ideal in  $\mathfrak{b}$  generated by the two root spaces relative to the simple roots  $\sigma_n$  and  $\sigma_{2n}$ . Then  $\mathfrak{c}$  is of nilpotency class 2. Using the bound from [15, Lemma 2.1], we obtain

$$\text{mod}(B : \mathfrak{c}) \geq 2 \dim \mathfrak{c} - \dim \mathfrak{b} - \dim[\mathfrak{c}, \mathfrak{c}] = \frac{1}{18}(r^2 - 7r + 10).$$

#### 4. SPHERICAL IDEALS OF $\mathfrak{b}$

A  $\mathfrak{b}$ -ideal  $\mathfrak{c}$  is said to be *spherical*, if its  $G$ -saturation  $G \cdot \mathfrak{c}$  is a spherical  $G$ -variety. Then clearly, by the discussion in the last section, we have  $\text{mod}(B : \mathfrak{c}) = 0$ . The aim of this section is to find all spherical ad-nilpotent ideals of  $\mathfrak{b}$  (or  $\mathfrak{b}$ -ideals) in case  $G$  is simple. In view of Theorem 3.2, we concentrate on the description of the non-abelian spherical ideals.

**Proposition 4.1.** *Let  $\mathfrak{c}$  be an ad-nilpotent ideal of  $\mathfrak{b}$ .*

- (i) *If  $\mathfrak{g}$  is simply-laced and  $[\mathfrak{c}, \mathfrak{c}] \neq \{0\}$ , then  $\mathfrak{c}$  is not spherical.*
- (ii) *If  $\mathfrak{g}$  is doubly-laced and  $[\mathfrak{c}, [\mathfrak{c}, \mathfrak{c}]] \neq \{0\}$ , then  $\mathfrak{c}$  is not spherical.*
- (iii) *If  $\mathfrak{g}$  is of type  $G_2$ , then any spherical ideal is abelian.*

Part (i) is already proved in [14, Prop. 2.7]. However, the proof for (i) and (ii) uses similar ideas, so that including our proof for (i) requires only a couple of extra lines.

*Proof.* We call a pair of roots  $\{\gamma, \mu\} \in \Psi^+$  an  $A_2$ -configuration if  $|\gamma| = |\mu|$  and  $(\gamma, \mu) < 0$  and we call it a  $C_2$ -configuration if  $|\gamma|^2 = 2|\mu|^2$  and  $(\gamma, \mu) < 0$ . To prove that an ideal  $\mathfrak{c}$  is not spherical, it suffices to detect inside of  $\Psi(\mathfrak{c})$  an  $A_2$ - or  $C_2$ -configuration  $\{\gamma, \mu\}$ . Indeed, in that case  $x = e_\gamma + e_\mu \in \mathfrak{c}$  is a principal nilpotent element in a simple subalgebra of type  $A_2$  or  $C_2$ . Denote this subalgebra by  $\mathfrak{s}$ . Then  $(\text{ad } x|_{\mathfrak{s}})^4 \neq 0$ . Hence the orbit  $G \cdot x$  is not spherical by Theorem 3.1 and so  $G \cdot \mathfrak{c}$  is not spherical either.

(i) If  $[\mathfrak{c}, \mathfrak{c}] \neq \{0\}$ , then there exist  $\alpha, \beta \in \Psi(\mathfrak{c})$  such that  $\alpha + \beta \in \Psi$ . In the simply-laced case, this means that  $\{\alpha, \beta\}$  is an  $A_2$ -configuration, and we are done.

(ii) If  $[[\mathfrak{c}, [\mathfrak{c}, \mathfrak{c}]] \neq \{0\}$ , then there exist  $\gamma_1, \gamma_2, \gamma_3 \in \Psi(\mathfrak{c})$  such that both  $\gamma_1 + \gamma_2$  and  $\gamma_1 + \gamma_2 + \gamma_3$  are in  $\Psi$ . Now, considering the various possibilities for the lengths of the roots in question, we see that one can always detect an  $A_2$ - or  $C_2$ -configuration within  $\Psi(\mathfrak{c})$ :

- (a) If  $\gamma_1, \gamma_2$  are long, then  $\{\gamma_1, \gamma_2\}$  is an  $A_2$ -configuration.
- (b) If  $\gamma_1$  is long and  $\gamma_2$  is short, then  $\{\gamma_1, \gamma_2\}$  is a  $C_2$ -configuration.
- (c) If  $\gamma_1, \gamma_2$  are short and  $(\gamma_1, \gamma_2) < 0$ , then  $\{\gamma_1, \gamma_2\}$  is an  $A_2$ -configuration.
- (d) If  $\gamma_1, \gamma_2$  are orthogonal short roots, then  $\gamma_1 + \gamma_2$  is long. Thus, if  $\gamma_3$  is short (resp. long), then  $\{\gamma_1 + \gamma_2, \gamma_3\}$  is a  $C_2$ -configuration (resp.  $A_2$ -configuration).

(iii) Straightforward.  $\square$

*Remark.* The proof of Proposition 4.1 shows that a non-abelian ideal  $\mathfrak{c}$  can only be spherical if all nonzero brackets in  $[\mathfrak{c}, \mathfrak{c}]$  correspond to orthogonal short roots, i.e.,  $[e_\alpha, e_\beta] \neq 0$  only if  $\alpha, \beta$  are orthogonal and short.

*Remark.* Given an ideal  $\mathfrak{c} \subset \mathfrak{b}_u$ , we say that  $\gamma \in \Psi(\mathfrak{c})$  is a *generator* if it cannot be written as a sum  $\gamma = \mu + \sum v_i$ , where  $\mu \in \Psi(\mathfrak{c})$  and  $v_i \in \Psi^+$ . Alternatively, let  $\tilde{\mathfrak{c}}$  be the unique  $T$ -stable complement to  $[\mathfrak{b}_u, \mathfrak{c}]$  in  $\mathfrak{c}$ . Then  $\gamma$  is a generator of  $\Psi(\mathfrak{c})$  if and only if it is a  $T$ -weight of  $\tilde{\mathfrak{c}}$ . We write  $\Gamma(\mathfrak{c})$  for the set of generators. It is obvious from this description that  $\Gamma = \{\gamma_1, \dots, \gamma_t\} \subset \Psi^+$  is a set of generators for some ad-nilpotent  $\mathfrak{b}$ -ideal if and only if  $\gamma_i - \gamma_j$  is not a sum of positive roots for all pairs  $i, j$ , i.e., the elements of  $\Gamma$  are pairwise not comparable with respect to the standard partial ordering on  $\Psi^+$ . In the combinatorial language, this means that  $\Gamma$  is an *antichain* in  $\Psi^+$ . Since an ad-nilpotent ideal is completely determined by its set of generators, we write  $\mathfrak{c}(\Gamma)$  for the ideal whose set of generators is an antichain  $\Gamma \subset \Psi^+$ .

Our method of classifying spherical ideals is that we first determine the ad-nilpotent ideals whose sets of roots do not contain  $A_2$ - or  $C_2$ -configurations (cf. proof of Proposition 4.1). Then we directly verify that all ideals obtained in this way are actually spherical.

**Theorem 4.2.** *Suppose  $\mathfrak{g}$  is doubly-laced.*

- (i) *Let  $\mathfrak{g}$  be of type  $B_r$  ( $r \geq 2$ ). Then there is a unique maximal non-abelian spherical  $\mathfrak{b}$ -ideal.*
- (ii) *Let  $\mathfrak{g}$  be of type  $C_r$  ( $r \geq 2$ ). Then there are  $r - 1$  maximal non-abelian spherical  $\mathfrak{b}$ -ideals.*
- (iii) *Let  $\mathfrak{g}$  be of type  $F_4$ . Then there are two maximal non-abelian spherical  $\mathfrak{b}$ -ideals.*

*The generators and dimensions of these ideals are listed in Table 1 below.*

*Proof.* For  $B_r$  and  $C_r$ , we use the standard expression of roots in terms of the fundamental dominant weights  $\varepsilon_1, \dots, \varepsilon_r$ . For instance,  $\sigma_1 = \varepsilon_1 - \varepsilon_2$  for both  $B_r$  and  $C_r$ , while  $\sigma_r = \varepsilon_r$  for  $B_r$  and  $\sigma_r = 2\varepsilon_r$  for  $C_r$ .

Let  $\mathfrak{c}$  be a spherical  $\mathfrak{b}$ -ideal in  $\mathfrak{g}$ .

- (i) Recall that the positive roots of  $B_r$  are  $\varepsilon_i \pm \varepsilon_j$  ( $1 \leq i < j \leq r$ ) and  $\varepsilon_i$  ( $1 \leq i \leq r$ ).
  - (a) Suppose  $\varepsilon_i - \varepsilon_j \in \Psi(\mathfrak{c})$ . Then we also have  $\varepsilon_1 + \varepsilon_j \in \Psi(\mathfrak{c})$ , since  $(\varepsilon_1 + \varepsilon_j) - (\varepsilon_i - \varepsilon_j)$  is a sum of positive roots. Because  $\{\varepsilon_i - \varepsilon_j, \varepsilon_1 + \varepsilon_j\}$  is an  $A_2$ -configuration if  $i > 1$ , we conclude that  $\varepsilon_i - \varepsilon_j$  is a root of a spherical ideal only if  $i = 1$ .
  - (b) Suppose  $\varepsilon_1 - \varepsilon_j \in \Psi(\mathfrak{c})$  for some  $j \geq 2$ , and  $j$  is the minimal such possible index. If  $j = 2$ , then  $\varepsilon_2 + \varepsilon_3 \notin \Psi(\mathfrak{c})$ , since  $\{\varepsilon_1 - \varepsilon_2, \varepsilon_2 + \varepsilon_3\}$  is an  $A_2$ -configuration. Hence we

obtain the maximal abelian ideal with the generator  $\varepsilon_1 - \varepsilon_2$ . Thus, we may assume that  $j > 2$ .

- Since  $\{\varepsilon_1 - \varepsilon_j, \varepsilon_2 + \varepsilon_j\}$  is an  $A_2$ -configuration, we have  $\varepsilon_2 + \varepsilon_j \notin \Psi(\mathfrak{c})$ . This implies that the root  $\varepsilon_k + \varepsilon_l$  belongs to  $\Psi(\mathfrak{c})$  only if  $k = 1$  (and  $l$  is arbitrary), or  $k, l < j$ .
- Assume now  $\varepsilon_k \in \Psi(\mathfrak{c})$  for  $k > 1$ . Then  $\varepsilon_2 \in \Psi(\mathfrak{c})$  and hence  $\varepsilon_2 + \varepsilon_j \in \Psi(\mathfrak{c})$  for  $j > 2$ . This yields in  $\Psi(\mathfrak{c})$  either the  $C_2$ -configuration  $\{\varepsilon_1 - \varepsilon_2, \varepsilon_2\}$  (for  $j = 2$ ), or the  $A_2$ -configuration  $\{\varepsilon_1 - \varepsilon_j, \varepsilon_2 + \varepsilon_j\}$  (for  $j > 2$ ). This contradiction shows that  $\varepsilon_k \notin \Psi(\mathfrak{c})$ , if  $k \geq 2$ .

Thus, we conclude that  $\Psi(\mathfrak{c})$  is contained in the set of roots of the form  $\varepsilon_1 - \varepsilon_k$  ( $k \geq j$ ),  $\varepsilon_1, \varepsilon_1 + \varepsilon_l$  ( $2 \leq l \leq r$ ), and  $\varepsilon_k + \varepsilon_l$  ( $1 < k < l < j$ ). Clearly, such an ideal  $\mathfrak{c}$  is abelian.

- (c) It remains to consider the case when  $\Psi(\mathfrak{c})$  does not contain roots of the form  $\varepsilon_i - \varepsilon_j$ . Here all roots remaining at our disposal form an ideal of  $\Psi^+$ , say  $\Psi(\mathfrak{c}')$ , whose unique generator is  $\varepsilon_r = \sigma_r$ . Using the canonical matrix realization of  $\mathfrak{so}_{2r+1}$ , we see that  $x^3 = 0$  and  $\text{rank}(x^2) \leq 1$  for any matrix  $x \in \mathfrak{c}' \subset \mathfrak{so}_{2r+1}$ . It then follows from [10, 4.3] that  $G \cdot x$  is spherical.

Thus, we have found a unique maximal spherical non-abelian ideal. It is worth noting that  $\tilde{\mathfrak{c}}$  contains one of the maximal abelian ideals, namely the ideal whose set of generators is  $\{\varepsilon_1, \varepsilon_{r-1} + \varepsilon_r\}$ .

(ii) The argument for  $C_r$  is similar to that for  $B_r$ , so that we omit the details. Recall that the positive roots of  $C_r$  are  $\varepsilon_i \pm \varepsilon_j$  ( $1 \leq i < j \leq r$ ) and  $2\varepsilon_i$  ( $1 \leq i \leq r$ ).

- (a) Again, if  $\varepsilon_i - \varepsilon_j \in \Psi(\mathfrak{c})$  and  $i > 1$ , then  $\mathfrak{c}$  cannot be spherical.
- (b) If  $\varepsilon_1 - \varepsilon_j \in \Psi(\mathfrak{c})$  for some  $j \geq 2$ , and  $j$  is the minimal possible index, then  $\Psi(\mathfrak{c})$  can only contain the roots  $\varepsilon_1 - \varepsilon_k$  ( $j \leq k$ ),  $\varepsilon_1 + \varepsilon_l$  ( $1 \leq l \leq r$ ),  $\varepsilon_k + \varepsilon_l$  ( $1 < k, l < j$ ). This yields  $r - 1$  maximal possibilities for  $\Psi(\mathfrak{c})$ . Namely, we get the ideals  $\mathfrak{c}_j$  generated by the roots  $\varepsilon_1 - \varepsilon_j, 2\varepsilon_{j-1}$ , if  $3 \leq j \leq r$ ; and by  $\varepsilon_1 - \varepsilon_2$ , if  $j = 2$ .

Using the canonical matrix realization of  $\mathfrak{sp}_{2r}$ , we see that  $x^2 = 0$  for any matrix  $x \in \mathfrak{c}_j \subset \mathfrak{sp}_{2r}$ . It then follows from [10, 4.2] that  $G \cdot x$  is spherical.

- (c) Notice that if  $\Psi(\mathfrak{c})$  contains no roots of the form  $\varepsilon_i - \varepsilon_j$ , then we obtain the unique maximal abelian ideal, which is generated by  $\sigma_r = 2\varepsilon_r$ .

(iii) We denote a root  $\sum_{i=1}^4 n_i \sigma_i$  in the root system of type  $F_4$  simply by  $(n_1 n_2 n_3 n_4)$ . Working directly within the root system of type  $F_4$ , one easily finds that there are two maximal non-abelian ideals without  $A_2$ - or  $C_2$ -configurations. These are  $\mathfrak{c}_1 = \mathfrak{c}(\{(1111), (0122)\})$  and  $\mathfrak{c}_2 = \mathfrak{c}(\{(0121)\})$ . Here is a sketch of the argument.

- If  $(1120) \in \Psi(\mathfrak{c})$ , then  $\Psi(\mathfrak{c})$  contains also the  $A_2$ -configuration  $(1122), (1220)$ ;
- If  $(0111) \in \Psi(\mathfrak{c})$ , then  $\Psi(\mathfrak{c})$  contains also the  $A_2$ -configuration  $(1111), (0121)$ ;
- If  $(1220) \in \Psi(\mathfrak{c})$ , then either  $\mathfrak{c}$  is abelian, or  $\Psi(\mathfrak{c})$  contains the  $A_2$ -configuration  $(1122), (1220)$ .

Thus, any ideal without  $A_2$ -configurations is contained in the ideal with generators  $(1111), (0121)$ . Furthermore, these two roots cannot occur together. This leads to the

above two possibilities.

Next, we show that there are subgroups  $H_1, H_2 \subset G$  and an abelian ideal  $\mathfrak{a}$  such that  $\mathfrak{c}_i = H_i \cdot \mathfrak{a}$ . (That is, each  $\mathfrak{c}_i$  is a “partial saturation” of an abelian ideal.) This obviously means that  $G \cdot \mathfrak{c}_i$  contains the same dense  $G$ -orbit as  $G \cdot \mathfrak{a}$ . Since this orbit is spherical by Theorem 3.2, we conclude that  $\mathfrak{c}_i$  is spherical, too. In both cases, the subgroup  $H_i$  is a standard parabolic subgroup of  $G$ . Namely, we take

$$\Gamma(\mathfrak{a}) = \{(0122), (1221)\}, H_1 = P(\sigma_2, \sigma_3), \text{ and } H_2 = P(\sigma_1, \sigma_2).$$

It is straightforward to verify that  $\mathfrak{a}$  is a maximal abelian ideal,  $\dim \mathfrak{a} = 9$ , and  $N_G(\mathfrak{a}) = P(\sigma_1, \sigma_3)$ . Then considering the roots involved shows that  $\mathfrak{c}_i = H_i \cdot \mathfrak{a}$  in both cases.

It follows from this construction that, similarly to the case of  $B_r$ , both  $\mathfrak{c}_1$  and  $\mathfrak{c}_2$  contain the abelian ideal  $\mathfrak{a}$  defined above.  $\square$

In Table 1, we list all the maximal non-abelian spherical ideals (i.e., in the non-simply laced cases).

$G$	$\Gamma(\mathfrak{c})$	$\dim \mathfrak{c}$
$B_r$	$\varepsilon_r$	$r(r+1)/2$
$C_r$	$\varepsilon_1 - \varepsilon_2$	$2r - 1$
	$\varepsilon_1 - \varepsilon_{k+1}, 2\varepsilon_k$ ( $2 \leq k \leq r-1$ )	$2r + (k^2 - 3k)/2$
$F_4$	$(1111), (0122)$	11
	$(0121)$	11

TABLE 1. The maximal spherical non-abelian ideals of  $\mathfrak{b}$  in type  $B_r, C_r$ , and  $F_4$ .

*Remark.* Clearly, if  $\mathfrak{c}$  is a spherical ideal of  $\mathfrak{b}$ , then we have  $\text{mod}(B : \mathfrak{c}) = 0$ . Sphericity, however, is not necessary for the resulting modality zero statement, as many examples show, e.g., see the results in [7] and [8].

**Corollary 4.3.** *Suppose  $G$  is simple and not of type  $G_2$ . The number of maximal abelian ideals of  $\mathfrak{b}$  plus the number of maximal non-abelian spherical ideals of  $\mathfrak{b}$  equals  $\text{rank } \mathfrak{g}$ .*

*Proof.* This follows immediately from the classification of the maximal abelian ideals of  $\mathfrak{b}$  in [16] and the above classification of the maximal spherical non-abelian ideals.  $\square$

*Remarks.* 1. As indicated in the proof of Theorem 4.2, it happens for  $B_r$  and  $F_4$  that a maximal abelian ideal is properly contained in a maximal spherical non-abelian ideal. Therefore, the number of maximal spherical ideals is equal to the rank of  $\mathfrak{g}$  if and only if  $\mathfrak{g}$  is either simply-laced or of type  $C_r$ .

2. Thanks to a theorem of D. Peterson, for  $G$  simple, the number of abelian ideals of  $\mathfrak{b}$  is  $2^r$ , cf. [9], [5, Thm. 2.9]. There is no analogous uniform expression for the number of spherical ideals. For, this number is equal to  $2^r$  in the simply-laced cases and also for  $G_2$ , see Proposition 4.1. In type  $B_r$  this number equals  $2^r + 2^{r-2}$  and in type  $C_r$  it is  $2^r + 2^{r-1} - 1$ . In type  $F_4$  this number equals 21.

3. The theory of  $\mathfrak{sl}_2$ -triples readily implies that any nilpotent orbit intersects some ad-nilpotent ideal in a dense subset. For, let  $e$  be a nilpotent element in  $\mathfrak{g}$  and let  $h$  be the semisimple element of an  $\mathfrak{sl}_2$ -triple containing  $e$ . Consider the  $\mathbb{Z}$ -grading of  $\mathfrak{g} = \bigoplus \mathfrak{g}(i)$  afforded by  $h$ . Then  $G \cdot e$  meets the ideal  $\bigoplus_{i \geq 2} \mathfrak{g}(i)$  of  $\mathfrak{b}$  in a dense subset, e.g., see [4, Sect. 5.7]. In particular, any spherical nilpotent orbit intersects some spherical ideal of  $\mathfrak{b}$  in a dense subset.

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