

## LECTURE 11

I will speak today about Ricci and sectional curvature.

**Proposition 0.22.** *The curvature tensor has the symmetry properties,*

$$R(X, Y, Z, W) = -R(Y, X, Z, W) = -R(X, Y, W, Z) = R(Z, W, X, Y)$$

and

$$R(X, Y, Z, W) + R(Y, Z, X, W) + R(Z, X, Y, W) = 0.$$

*Proof.* The last identity is exactly Bianchi identity. Anti-symmetry of  $R(X, Y, Z, W)$  in the first two entries  $X, Y$  is obvious from the definition. The second antisymmetry was shown in Proposition 0.20. And last symmetry left was shown in Proposition 0.21.  $\square$

*Local expressions.*  $C^\infty$ -linearity of the curvature operator implies that in local charts,  $R$  is determined by its values on coordinate vector fields as we know from the discussion above. We can thus introduce components  $R_{ijk}^l$  of the curvature tensor, defined by

$$R(\partial_i, \partial_j)\partial_k = \sum_l R_{ijk}^l \partial_l$$

We can explicitly calculate, letting  $\partial_l \Gamma_{ij}^k = X_l(\Gamma_{ij}^k)$  that:

$$R_{ijk}^l = \partial_i \Gamma_{jk}^l - \partial_j \Gamma_{ki}^l + \sum_m \Gamma_{im}^l \Gamma_{jk}^m - \sum_m \Gamma_{jm}^l \Gamma_{ki}^m$$

**Remark:** Recall that this complicated expression appeared in the proof of Gauss' theorem egregium in the curves and surfaces course, but it was somewhat unmotivated back then!

Therefore, we have that

$$R_{ijkl} = R(X_i, X_j, X_k, X_l) = g(R(X_i, X_j)X_k, X_l) = g\left(\sum_m R_{ijk}^m X_m, X_l\right) = \sum_m R_{ijk}^m g_{lm}$$

**0.37. Ricci curvature.** The full Riemann curvature tensor is difficult to work with directly; fortunately, there are simpler “curvature” tensors capturing some of the same information, that are easier to work with.

**Definition 0.36.** *The Ricci curvature tensor  $Ric \in \Gamma(S^2 T^*M)$  is the 2-tensor  $Ric(X, Y) = \text{trace of the map } Z \rightarrow R(Z, X)Y$*

**Remark:** A map  $Z \rightarrow R(Z, X)Y$  only depends on the value of  $X, Y, Z$  at  $p$ , so it is a well-defined map from  $T_p M$  to  $T_p M$ , and thus may be viewed as a matrix once we choose a basis for  $T_p M$ .

**Remark:** If we choose an orthonormal basis  $e_i$  then  $Ric(X, Y) = \sum_i g(R(e_i, X)Y, e_i)$ . The symmetries of the Riemann curvature tensor imply that  $Ric$  is a symmetric bilinear form on  $T_p M$  at each point  $p$ .

**Remark:** The Ricci curvature also determines the Riemann curvature tensor in 3 dimensions, but in higher dimensions they are different.

Note that the Ricci curvature tensor is a symmetric (2,0)-tensor, and the same is true of the Riemannian metric so we can compare them.

**Definition 0.37.** We say that  $(M, g)$  is Einstein if  $Ric = \lambda g$  for some constant  $\lambda$ .

The equation  $Ric = \lambda g$  is the Riemannian version of Einstein's field equations from General Relativity in the absence of matter. If  $\lambda = 0$ , then  $M$  is called *Ricci-flat*. It is not the same as flat!

The object very related to Ricci curvature is scalar curvature.

**Definition 0.38.** The scalar curvature  $s$  is the trace of  $Ric$

$$s = \sum_i Ric(e_i, e_i).$$

We can always construct trace-free Ricci tensor, which we denote as  $Ric_0$ , as we always do while constructing something trace-free:

$$Ric_0 = Ric - \frac{s}{n}g,$$

where  $g$  denotes metric.

**Remark:** Sometimes the scalar curvature is defined as  $s/n$ .

**0.38. Motivation to consider Ricci/scalar curvature.** This subsection is a bit informal, we are not going to prove anything here at the moment.

Let us think of the curvature  $R$  as a section of  $\otimes^4 T^*M$  by the formula  $R(X, Y, Z, W) := g(R(X, Y)Z, W)$ .

For each point  $p$ , the *automorphism* group of  $T_p M$  is isomorphic to the orthogonal group  $O(n)$ .

**Fact:** Moreover,  $T_p M$  and  $T_p^* M$  are isomorphic as  $O(n)$ -modules, and both isomorphic to the standard representation, which we denote  $E$ .

However, the symmetries of  $R$  mean that it is actually contained in  $S^2 \Lambda^2 E$  (two symmetries and two antisymmetries). Furthermore the Bianchi identity shows that  $R$  is in the kernel of the  $O(n)$ -equivariant map  $b : S^2 \Lambda^2 E \rightarrow S^2 \Lambda^2 E$  defined by the formula

$$b(T)(X, Y, Z, W) = \frac{1}{3}(T(X, Y, Z, W) + T(Y, Z, X, W) + T(Z, X, Y, W))$$

The image of  $b$  is  $\Lambda^4 E$  and there is the decomposition  $S^2 \Lambda^2 E = Im b \oplus Ker b$ .

**Fact:** As an  $O(n)$ -module  $\Lambda^4 E$  is irreducible ( $n > 2$ ), but  $Ker b$  is not. We call  $Ker b$  the space of curvature tensors on  $E$ .

Taking a trace over the second and fourth indices gives us a contraction  $S^2 \Lambda^2 E \rightarrow S^2 E$ , for which  $R \mapsto Ric$ .

As an  $O(n)$ -module  $S^2 E$  is not irreducible, since it contains the invariant inner product on  $E$  (and its scalar multiples), it is an  $O(n)$ -invariant vector. Therefore,  $S^2 E = S_0^2 E \oplus \mathbb{R}$ , and the trace  $S^2 E \rightarrow \mathbb{R}$  takes  $Ric$  to  $s$ , and  $Ric_0$  is the part in  $S_0^2 E$ .

The part of  $R$  in the kernel of the contraction  $S^2\Lambda^2E \rightarrow S^2E$  is called the *Weyl curvature tensor*,  $W$ .

$$Kerb = \mathbb{R} \oplus S_0^2E \oplus W(E)$$

For  $n \neq 4$  these factors are all irreducible, for  $n < 4$  some of them vanish, in particular  $W(E) = 0$  ( $R$  is determined by *Ric*!). But for  $n = 4$  there is a further decomposition of  $W$  into “self dual” and “anti-self dual” parts coming from the exceptional isomorphism  $\mathfrak{o}(4) = \mathfrak{o}(3) \oplus \mathfrak{o}(3)$ .

**0.39. Sectional curvature (definition).** Recall that in the dimension two curvature is given by one number  $-R_{1212}$ .

**Definition 0.39.** Let  $\sigma = \text{Span}\{X, Y\} \subset T_pM$  be a 2-plane. The sectional curvature of  $\sigma$  is given by

$$K(\sigma) = K(X, Y) = \frac{R(X, Y, Y, X)}{g(X, X)g(Y, Y) - g(X, Y)^2}.$$

First let us note that  $K(\sigma)$  is independent of the choice of basis of  $\sigma$  (therefore, well-defined).

Any other basis is of the form  $\{aX + bY, cX + dY\}$  where  $(ad - bc)^2 \neq 0$  so that the vectors are linearly independent. By the properties of  $R$  we have

$$R(aX + bY, cX + dY, cX + dY, aX + bY) = (ad - bc)^2 R(X, Y, Y, X)$$

For the denominator we have

$$g(aX + bY, aX + bY)g(cX + dY, cX + dY) - g(aX + bY, cX + dY)^2 = (ad - bc)^2 (g(X, X)g(Y, Y) - g(X, Y)^2)$$

The common non-zero factors cancels and hence  $K(\sigma)$  is independent on the choice of basis.

**Remark:** The Riemannian metric on  $M$  induces a (positive-definite) symmetric inner product on the fibers of  $\Lambda^p(TM)$  for every  $p$ . For  $p = 2$  we have a formula

$$\|X \wedge Y\|^2 = g(X, X)g(Y, Y) - g(X, Y)^2$$

Geometrically,  $\|X \wedge Y\|$  is the area of the parallelogram spanned by  $X$  and  $Y$  in  $T_pM$ . As observed above, the curvature  $R$  also induces a symmetric inner product on each  $\Lambda^2(T_pM)$ , and the ratio (which is exactly scalar curvature defined above) of the two inner products is a well-defined function on the space of rays  $\mathbb{P}(\Lambda^2T_pM)$  (it means we can rescale).

Note that since both  $R$  and  $\|\cdot\|$  are symmetric inner products on  $\Lambda^2T_pM$ , the definition is independent of the choice of basis.

Note that a symmetric inner product on a vector space can be recovered from the length function it induces on vectors, it follows that the full tensor  $R$  can be recovered from its ratio with the Riemannian inner product as a function on  $\mathbb{P}(\Lambda^2T_pM)$ . Since the Grassmannian of 2-planes in  $V$  is an irreducible subvariety of  $\mathbb{P}(\Lambda^2V)$  it follows that the full tensor  $R$  can be recovered from the values of the sectional curvature on all 2-planes in  $TM$ .